

# ICHOR HOLDINGS, LTD.

# Reported by **CANTY KEVIN M.**

### FORM 4

(Statement of Changes in Beneficial Ownership)

### Filed 01/17/20 for the Period Ending 01/14/20

Address 3185 LAURELVIEW CT.

FREMONT, CA, 94538

Telephone 510-897-5200

CIK 0001652535

Symbol ICHR

SIC Code 3674 - Semiconductors and Related Devices

Industry Semiconductors

Sector Technology

Fiscal Year 12/27



[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response... 0.5

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person *  |   |                   |                                  |  | 2. Issuer Name and Ticker or Trading Symbol |                               |  |                        |              |          |   | ol  | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) |   |              |   |   |  |
|--|---|-------------------|----------------------------------|--|---|-------------------------------|--|------------------------|--------------|----------|---|---|---|---|--------------|---|---|--|
| CANTY KEVIN M.   |   |                   |                                  |  |   | ICHOR HOLDINGS, LTD. [ ICHR ] |  |                        |              |          |   |   |   |   | Í            |   |   |  |
| (Last) (First) (Middle)  |   |                   |                                  | 3. Date of Earliest Transaction (MM/DD/YYYY) |   |                               |  |                        |              |          |   | Director 10% Owner  |   |   |              |   |   |  |
|  |   |                   |                                  |  |   |                               |  |                        |              |          |   | X_ Officer (give title below) Other (specify below) Chief Operating Officer       |   |   |              |   |   |  |
| C/O ICHOR HOLDINGS, LTD., 3185   |   |                   |                                  |  |   | 1/14/2020                     |  |                        |              |          |   |   | Ciliei Operati  | ing Offic                                 | er           |   |   |  |
| LAURELVI   | EW COL  | JRT               |                                  |  |   |                               |  |                        |              |          |   |   |   |   |              |   |   |  |
|  | (Stre   | eet)              |                                  |  | 4. If                                       | f Am                          | endme  | nt, Date O             | rigir        | nal File | d (MM/D   | D/YYY   | YY)   | 6. Individual o                           | r Joint/G    | roup Filing (   | Check Appl                                      | icable Line)   |
| FREMONT, CA 94538  |   |                   |                                  |  |   |                               |  |                        |              |          |   | X_Form filed by One Reporting Person Form filed by More than One Reporting Person |   |   |              |   |   |  |
| (C   | city) (Sta  | ite) (Zi          | p)                               |  |   |                               |  |                        |              |          |   |   |   | Tomi med by                               | wiore than c | one Reporting I                                       | CISOII  |  |
|  |   |                   |                                  |  |   |                               |  |                        |              |          |   |   |   | eficially Owne                            |              |   |   |  |
| 1. Title of Security (Instr. 3)  2. Trans. Definition of the Defin |   |                   | 1                                | 2A. Deemed<br>Execution<br>Date, if any      |   | 3. Trans. Co<br>(Instr. 8)    | de 4. Securities Acqu<br>or Disposed of (D)<br>(Instr. 3, 4 and 5) |                        |              | Fo       | Amount of Securiti<br>ollowing Reported T<br>nstr. 3 and 4) | ties Beneficially Owned<br>Transaction(s)   |   | Ownership<br>Form:                        | Beneficial   |   |   |  |
|  |   |                   |                                  |  |   |                               |  | Code                   | V            | Amoun    | (A) or (D)  | Price   | e   |   |              |   | Direct (D)<br>or Indirect<br>(I) (Instr.<br>4)  | Ownership<br>(Instr. 4)  |
| Ordinary Shares, par value \$0.0001 1/14/2020  |   |                   |                                  | 0  |   |                               | S <sup>(1)</sup>   |                        | 2381         | D        | \$35.0  | )0  | 4   | 13998                                     |              | D   |   |  |
|  | Tab   | ole II - De       | rivativ                          | e Securit                                    | ties I                                      | Benet                         | ficially   | Owned (                | e.g.,        | puts,    | calls, wa   | ırran   | its, oj   | ptions, conver                            | tible secu   | urities)  |   |  |
|  | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative | 3. Trans.<br>Date | 3A. Dee<br>Execution<br>Date, if | on (Inst                                     |   | Acquir<br>Dispos              |  | e Securities<br>(A) or | 1            |          |   | 7. Title and A<br>Securities Un<br>Derivative S<br>(Instr. 3 and                  |   | nderlying Derivative<br>security Security |              | derivative<br>Securities<br>Beneficially<br>Owned     | Ownership<br>Form of<br>Derivative<br>Security: | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|  | Security  |                   |                                  | Co   | ode   | V                             | (A)  | (D)                    | Date<br>Exer | cisable  | Expiration<br>Date  | Title   | Amor  | ount or Number of<br>es                   |              | Following<br>Reported<br>Transaction(s)<br>(Instr. 4) | Direct (D)<br>or Indirect<br>(I) (Instr.<br>4)  |  |

#### **Explanation of Responses:**

(1) The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan.

#### **Reporting Owners**

| reporting Owners               |               |           |                          |       |  |  |  |  |  |
|--------------------------------|---------------|-----------|--------------------------|-------|--|--|--|--|--|
| Reporting Owner Name / Address | Relationships |           |                          |       |  |  |  |  |  |
| Reporting Owner Name / Address | Director      | 10% Owner | Officer                  | Other |  |  |  |  |  |
| CANTY KEVIN M.                 |               |           |                          |       |  |  |  |  |  |
| C/O ICHOR HOLDINGS, LTD.       |               |           | Chief Operating Officer  |       |  |  |  |  |  |
| 3185 LAURELVIEW COURT          |               |           | Ciliei Operating Officer |       |  |  |  |  |  |
| FREMONT, CA 94538              |               |           |                          |       |  |  |  |  |  |

#### **Signatures**

/s/ Chase Rosson by Power of Attorney

\*\*Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.